

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. §371		Attorney Docket Number <div style="text-align: center; font-weight: bold;">5525-0038.10</div>
International Application No. <div style="text-align: center; font-weight: bold;">PCT/US99/20047</div>		International Filing Date <div style="text-align: center; font-weight: bold;">31 August 1999</div>
Title of Invention <div style="text-align: center; font-weight: bold;">METHOD OF SCREENING FOR GENETIC POLYMORPHISM</div>		U.S. Application No. (if known, see 37 CFR §1.5) <div style="text-align: center; font-size: 1.5em; font-weight: bold;">09/786254</div>
Applicant(s) for DO/EO/US <div style="text-align: center; font-weight: bold;">Sydney Brenner</div>		Priority Date Claimed <div style="text-align: center; font-weight: bold;">04 September 1998</div>
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information		
1. <input checked="" type="checkbox"/>	This is a FIRST submission of items concerning a filing under 35 U.S.C. §371.	
2. <input type="checkbox"/>	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. §371.	
3. <input type="checkbox"/>	This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).	
4. <input checked="" type="checkbox"/>	A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.	
5. <input checked="" type="checkbox"/>	A copy of the International Application as filed (35 U.S.C. §371(c)(2))	
a. <input type="checkbox"/>	is transmitted herewith (required only if not transmitted by the International Bureau).	
b. <input checked="" type="checkbox"/>	has been transmitted by the International Bureau.	
c. <input type="checkbox"/>	is not required, as the application was filed in the United States Receiving Office (RO/US).	
6. <input type="checkbox"/>	A translation of the International Application into English (35 U.S.C. §371(c)(2)).	
7. <input type="checkbox"/>	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))	
a. <input type="checkbox"/>	are transmitted herewith (required only if not transmitted by the International Bureau).	
b. <input type="checkbox"/>	have been transmitted by the International Bureau.	
c. <input type="checkbox"/>	have not been made; however, the time limit for making such amendments has NOT expired.	
d. <input checked="" type="checkbox"/>	have not been made and will not be made.	
8. <input type="checkbox"/>	A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. §371(c)(3)).	
9. <input checked="" type="checkbox"/>	An oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)).	
10. <input type="checkbox"/>	A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).	
Items 11. to 16. below concern document(s) or information included:		
11. <input type="checkbox"/>	An Information Disclosure Statement under 37 CFR §1.97 and §1.98.	
12. <input type="checkbox"/>	An assignment document for recording. A separate cover sheet in compliance with 37 CFR §3.28 and §3.31 is included.	
13. <input type="checkbox"/>	A FIRST preliminary amendment.	
14. <input type="checkbox"/>	A SECOND or SUBSTITUTE preliminary amendment.	
15. <input type="checkbox"/>	A substitute specification.	
16. <input type="checkbox"/>	A change of Power of Attorney and/or Address letter.	
17. <input type="checkbox"/>	Other items or information:	

U.S. Application No. (if known) see 09/786294 \$1.5)	International Application No. PCT/US99/20047	Attorney's Docket No. 5525-0038.10
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17. ☒ **The following fees are submitted:**

BASIC NATIONAL FEE (37 CFR \$1.492(a)(1)-(5) :

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO **\$1,000.00**

International preliminary examination fee (37 CFR \$1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO **\$ 860.00**

International preliminary examination fee (37 CFR \$1.482) not paid to USPTO but international search fee (37 CFR \$1.445(a)(2)) paid to USPTO **\$ 710.00**

International preliminary examination fee paid to USPTO (37 CFR \$1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) **\$ 690.00**

International preliminary examination fee paid to USPTO (37 CFR \$1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) **\$100.00**

CALCULATIONS PTO USE ONLY

ENTER APPROPRIATE BASIC FEE AMOUNT =				\$690.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claim priority date (37 CFR \$1.492(e)).				\$130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	16 - 20	0	× \$ 18.00	\$ 00.00	
Independent Claims	3 - 3	0	× \$ 80.00	\$ 00.00	
Multiple Dependent Claim(s) (if applicable)			+ \$270.00	\$ 00.00	
TOTAL OF ABOVE CALCULATIONS =				\$820.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
SUBTOTAL =				\$820.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR \$1.942(f)).				+	\$ 00.00
Fee for recording enclosed assignment (37 CFR \$1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR \$3.28, \$3.31) \$40.00 per prop.+				\$	
TOTAL FEES ENCLOSED =				\$820.00	
Amount To Be: refunded					\$
Amount to Be: charged					\$

a. ☒ A check in the amount of **\$820.00** to cover the filing fees is enclosed.

b. ☐ Please charge Deposit Account No. **04-0531*** in the amount of \$* to cover the above fees. This sheet is provided in triplicate.

c. ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **04-0531***. This sheet is provided in triplicate.

NOTE: Where an appropriate time limit under 37 CFR \$1.494 or \$1.495 has not been met, a petition to revive (37 CFR \$1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Iota Pi Law Group
 P.O. Box 60850
 Palo Alto, CA 94306

LeeAnn Gorthey **3/2/01**

Signature
 LeeAnn Gorthey
 Registration No. **37,337**

DOCKET NO. 5525-0038.10

IN THE UNITED STATES OF AMERICA AS AN ELECTED OFFICE
(EO/US)

IN RE APPLICATION OF: Sydney Brenner
SERIAL NO.: Not yet Assigned
PCT FILING DATE: 31 August 1999
PRIORITY DATE: 04 September 1998
Title: METHOD OF SCREENING FOR GENETIC POLYMORPHISM

CERTIFICATE OF MAILING BY EXPRESS MAIL

Box: PCT
Assistant Commissioner for Patents
Washington, D.C. 20231
Attn: DO/EO/US

Sir:

Express Mail Label No: EL 530 374 230 US

Date of Deposit: 02 MARCH 2001

I hereby certify that the attached filing: application transmittal under 35 USC § 371, an oath or declaration of the inventors under 35 U.S.C § 371, a Preliminary Amendment, and an attached check is being deposited with the United States Postal Service "Express Mail Post office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above, and is addressed to the Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231, Attention: DO/EO/US.

Respectfully submitted,

02 March '01
Date of Signature

Lynnea B. Anderson
Name:

Correspondence Address:
Customer No. 22918
Phone: 650 324-0880

09/786254

patent application serial no.

*Department of Commerce
Patent and Trademark Office
for record*

03/07/2001 SNAJARRO 00000012 09786254

01 FC:956	690.00 OP
02 FC:154	130.00 OP